



GP2731

PATENT  
Attorney Docket No.: IBN.5183

#9

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Ross W. Callon and Thierry Maison  
Serial No.: 09/113,491  
Filing Date: July 10, 1998  
Title: SYSTEM AND METHOD FOR FACILITATING RECOVERY  
FROM COMMUNICATION LINK FAILURES IN A DIGITAL DATA  
NETWORK

Examiner: not yet assigned  
Group Art Unit: 2731

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CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

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1-12-00

Date

Amy Lockhart  
Amy Lockhart

Assistant Commissioner for Patents  
Washington, DC 20231

Sir: TRANSMITTAL LETTER

Enclosed herewith for filing in the above-identified patent application please find the following listed items:

1. Information Disclosure Statement;
2. Forms PTO-1449 and copies of cited references AA and BR; and
3. Return Postcard.

In connection with the foregoing matter, please charge any additional fees which may be due, or credit any overpayment, to Deposit Account Number 19-0079. A duplicate copy of this letter is provided for this purpose.

Respectfully submitted,

Date: January 12, 2000  
Samuel, Gauthier & Stevens, LLP  
225 Franklin Street, Suite 3300  
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Telephone: (617) 426-9180, Ext. 149  
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Steven M. Mills  
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Amy Lockhart  
Amy Lockhart

Assistant Commissioner for Patents  
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.129(a), or  
(First/Second submission after Final Rejection)
- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of the national stage in international application; or before mailing date of first office action on the merits, whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either:
- ☐ a Certification under 37 CFR 1.97(e), as checked below, or
- ☐ a \$240.00 fee under 37 CFR 1.17(p), or  
(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with:
- ☐ a Certification under 37 CFR 1.97(e), as checked below, and
- ☐ a petition under 37 CFR 1.97(d) (2), and
- ☐ a \$130.00 petition fee set forth in 37 CFR 1.17(i).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

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Applicant(s): Ross W. Callon and Thierry Maison  
Serial No.: 09/113,491

Certification Under 37 1.97(e)

- ☒ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office In a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Enclosed herewith is form PTO-1449:

- ☒ Copies of cited references are enclosed.
- ☐ Copies of cited references are enclosed except those entered in prior application, U.S. Serial No. \_\_\_\_\_, and references that are not required to be submitted under 37 CFR 1.98.
- ☐ The listed references were cited in a counterpart foreign application.

Concise Explanation Requirement (non-English references):

- ☐ The "concise explanation" requirement under 36 CFR 1.98(a)(3) for references \_\_\_\_\_ is satisfied by:
- ☐ the explanation provided on the attached sheet.
- ☐ the explanation provided in the Specification.
- ☐ abstract.

It is requested the information disclosed herein be made of record in this application.

Method of payment:


- ☐ Enclosed is a check in the amount of \_\_\_\_\_.

Applicant(s): Ross W. Callon and Thierry Maison  
Serial No.: 09/113,491

- ☐ Please charge Deposit Account of 19-0079 in the amount of \_\_\_\_\_.
- ☐ Two duplicate copies of this Statement are enclosed.
- ☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account No. 19-0079.

Respectfully submitted,

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